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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/733,386	12/12/2003	Akira Shimofuku	R2184.0287/P287	8523
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DICKSTEIN SHAPIRO LLP 1825 EYE STREET NW Washington, DC 20006-5403			EXAMINER GOMA, TAWFIK A	
			ART UNIT	PAPER NUMBER
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			07/20/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/733,386

Applicant(s)

SHIMOFUKU, AKIRA

Examiner

Tawfik Goma

Art Unit

2627

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 21 May 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date: _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

This action is in response to the amendment filed on 5/21/2007.

Information Disclosure Statement

The information disclosure statement (IDS) submitted on 6/29/2007 was filed after the mailing date of the Non-Final rejection on 2/21/2007. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement has been considered by the examiner.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 16-27 are rejected under 35 U.S.C. 102(e) as being anticipated by Kobayashi (US 6754158).

Regarding claim 16, Kobayashi discloses a method of fabricating an optical recording medium comprising the steps of: preparing a stamper having a prescribed pit pattern (col. 7 lines 50-54); and forming a disk using the stamper, the disk having the pit pattern in a prescribed area in which a product $W*L$ of a width (W) and a length (L) of an nT pit varies (figs. 21a-21f and Abstract), where n is a natural number and T denotes clock time (col. 5 lines 3-9), wherein the nt pit is continuous and non-divided in a longitudinal direction and has a uniform width for its entire length (col. 19 lines 41-60 and fig. 23a)

Regarding claim 17, Kobayashi further discloses wherein the stamper preparing step includes a step of forming the pit pattern with a variable length of the nT pit in the stamper (col. 19 lines 42-45).

Regarding claim 18, Kobayashi further discloses wherein the pit pattern forming step includes a laser exposure step of delineating the pit pattern, while changing an exposure duty of the nT pit (figs. 5a, 5b and col. 12 lines 12-20).

Regarding claim 19, Kobayashi further discloses wherein the pit pattern is formed in the stamper such that the produce $W*L$ of the nT pit varies discontinuously (col. 9 lines 45-52).

Regarding claim 20, Kobayashi further discloses wherein the stamper preparing step includes a step of forming the pit pattern with a variable width of the nT pit in the stamper (fig. 16)

Regarding claim 21, Kobayashi further discloses wherein the pattern forming step includes a laser exposure step of delineating the pit pattern (figs. 5a, 5b), while changing an exposure power (col. 13 lines 16-28).

Regarding claim 22, Kobayashi further discloses wherein the pit pattern of the stamper is formed such that the product $W*L$ of the nT pit varies continuously (figs. 16 and col.17 lines 6-36)

Regarding claim 23, Kobayashi discloses a stamper used to fabricate a substrate of an optical recording medium, wherein the stamper has a prescribed pit pattern in at least a portion thereof (col. 7 lines 50-54), the pit pattern being formed such that a product $W*L$ of a width and a length of an nT pit forming the pit pattern varies (fig. 16 and figs. 23a-23c), where n is a natural number and T denotes clock time (col. 5 lines 3-9), wherein the nt pit is continuous and

Art Unit: 2627

non-divided in a longitudinal direction and has a uniform width for its entire length (col. 19 lines 41-60 and fig. 23a)

Regarding claim 24, Kobayshi further discloses wherein the stamper is used to fabricate a disk-type optical recording medium, and the product $W*L$ of the nT pit varies in a radial direction (figs. 4a, 4b and col. 9 lines 20-27)

Regarding claim 25, Kobayshi further discloses wherein the product $W*L$ of the nT pit varies continuously (fig. 16)

Regarding claim 26, Kobayashi further discloses wherein the stamper is used to fabricate a disk-type optical recording medium, and the product $W*L$ of the nT pit varies in a circumferential direction (figs. 4a, 4b, and col. 9 lines 20-27).

Regarding claim 27, Kobayshi further discloses wherein the product $W*L$ of the nT pit varies discontinuously (col. 9 lines 45-52).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi et al (US 6754158) in view of Ha (US 6423478).

Regarding claim 1, Kobayashi discloses an optical recording medium having a visible pattern on a disk (fig. 5a), the visible pattern being produced making use of change in reflectance of the disk caused by change in product $W*L$ of a width (W) and a length (L) of an

Art Unit: 2627

nT pit formed in the area (Abstract and figs. 23a-23c), where n denotes a natural number and T denotes clock time (col. 5 lines 3-13), wherein the nt pit is continuous and non-divided in a longitudinal direction and has a uniform width for its entire length (col. 19 lines 41-60 and fig. 23a). Kobayashi fails to disclose wherein the pattern is recorded in a read-only area of a disc. In the same field of endeavor, Ha discloses recording a pattern such as a watermark in a read-only area of a disc (30, fig. 1). It would have been obvious to one of ordinary skill in the art to modify the recording medium disclosed by Kobayashi by recording the pattern in a read-only area of a disc as taught by Ha. The rationale is as follows: One of ordinary skill in the art at the time of the applicant's invention would have been motivated to provide the pattern in a read-only area in order to prohibit altering of watermark data used for copy protection.

Regarding claim 2, Kobayashi further discloses wherein the visible pattern is a concentric pattern (figs. 5a, 5b and col. 9 lines 28-44).

Regarding claim 3, Kobayashi further discloses wherein the visible pattern is a radial pattern (figs 5a, 5b and col. 9 lines 28-44).

Regarding claim 4, Kobayashi further discloses wherein the visible pattern is a character or symbol pattern (figs. 5a, 5b).

Regarding claim 5, Ha further discloses wherein the disk has a recordable area, in addition to the read-only area (50, fig. 1). It would have been obvious to one of ordinary skill in the art to modify the recording medium disclosed by Kobayashi by providing a recording area as well as a read only area. The rationale is as follows: One of ordinary skill at the time of the applicant's invention would have been motivated to provide a recording area as well as a read-only area to allow a user to record data on the disc.

Art Unit: 2627

Regarding claim 6, Kobayahi further discloses wherein the area is divided into a plurality of regions (figs. 5a, 5b), and at least one of the width and the length of the nT pit are variable such that the product $W*L$ varies among the regions (col. 19 lines 42-60). Ha discloses wherein the area is a read-only area as disclosed above.

Regarding claim 7, Kobayashi further discloses wherein the area is divided into a plurality of regions (figs. 5a, 5b), and the length of the nT pit varies among the regions (Abstract and col. 19 lines 42-45) each of the regions having a different value of a signal reproduction characteristic (col. 19 lines 42-60), in addition to a different value of the product $W*L$ (col. 19 lines 42-60). Ha discloses wherein the area is a read only area as disclosed above.

Regarding claim 8, Kobayashi discloses an optical recording medium comprising: a substrate having an area in which pits are formed such that a product $W*L$ of a width (W) and a length (L) of an nT pit varies according to a prescribed manner (Abstract, figs. 5a, 5b and col. 9 lines 28-44), where n denotes a natural number and T denotes clock time (col. 5 lines 3-13), wherein the nt pit is continuous and non-divided in a longitudinal direction and has a uniform width for its entire length (col. 19 lines 41-60 and fig. 23a). Ha discloses wherein the area is a read-only area. The rationale for combining the references follows as in claim 1 above.

Regarding claim 9, Kobayashi discloses wherein the substrate is of a disk type (figs. 5a, 5b), and the product $W*L$ of the nT pit varies in the radius direction of the disk (figs. 4a, 4b and col. 9 lines 20-27).

Regarding claim 10, Kobayashi further disclose wherein the product $W*L$ of the nT pit varies continuously (fig. 16)

Art Unit: 2627

Regarding claim 11, Kobayashi further discloses wherein the substrate is of a disk type (fig. 5a), and the product $W*L$ of the nT pit varies in the circumferential direction of the disk (figs. 4a, 4b and col. 9 lines 20-27).

Regarding claim 12, Kobayashi further discloses wherein the product $W*L$ of the nT pit varies discontinuously (col. 9 lines 42-55).

Regarding claim 13, Kobayashi further discloses wherein a reflectance of the read-only area varies along with change in the product $W*L$, thereby producing a visible pattern in the read-only area (fig. 5a and col. 9 lines 45-52).

Claims 14 and 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kobayashi et al (US 6754158) in view of Ha (US 6423478) as applied to claims 1-13 above, and further in view of Usami (US 2002/0034155).

Regarding claims 14 and 15, Kobayashi further discloses a recording layer formed over the substrate (col. 3 lines 10-12), but fails to disclose the recording layer being made of a pigment liquid material and a reflection layer formed over the pigment liquid material. In the same field of endeavor, Usami discloses a disc with a pigment liquid material used for a recording layer (par. 66 and fig. 1) and a reflection layer formed over the liquid pigment layer (par. 67). It would have been obvious to one of ordinary skill in the art to provide the liquid pigment layer as the recording layer. The rationale is as follows: One of ordinary skill in the art at the time of the applicant's invention would have been motivated to provide the liquid pigment layer in order to produce a CD-R type disc.

Response to Arguments

Applicant's arguments filed 5/21/2007 have been fully considered but they are not persuasive. Applicant asserts that since Kobayashi discloses dividing a single recording mark into two pits (i.e. a 9T mark into a 4T and 5T divided pit), that Kobayashi fails to disclose an nt pit that is continuous and non-divided in a longitudinal direction and has a uniform width for its entire length. This argument is not persuasive because Kobayashi's disclosure of a divided pit relates only to the third embodiment of the invention disclosed by Kobayashi. The first and second embodiments do not divide the pit according to the method disclosed by Kobayashi and fully disclose the claimed invention.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Art Unit: 2627

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tawfik Goma whose telephone number is (571) 272-4206. The examiner can normally be reached on 8:30 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Korzuch can be reached on (571) 272-7589. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Tawfik Goma/
7/16/2007

/William R. Korzuch/
SPE, Art Unit 2627